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IPW  
PATENT APPLICATION

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Patent Application of:

Inventor(s): Giffin, et al.  
Filed: 6/23/2001  
Serial No.: 09/891,005  
Confirmation No.: 9424  
Art Unit: 2131  
Examiner: Nguyen, Quang N.  
Docket Number: SNY-P4260  
Title: Wireless Streaming Audio System

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**CERTIFICATE OF MAILING/ EXPRESS MAILING / FAX TRANSMISSION**

I hereby certify that this correspondence is, on the date shown below, being:

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- ☐ transmitted by facsimile to the U.S. Patent and Trademark Office.
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JERRY A. MILLER Reg. No. 30,779  
(Applicant, Assignee or Reg. Representative)

Signature

Date

8/2/2005

**INFORMATION DISCLOSURE STATEMENT**

Sir:

The undersigned submits herewith patents, publications or other information (enclosed herewith and/or listed on the enclosed "Information Disclosure Statement" form) of which he is aware, which he believes is relevant and may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR §1.56. The order of listing of the references on the attached form and any appendix hereto is without regard for relative relevance to the present invention.

This Information Disclosure Statement is submitted:

- ☐ Under 37 CFR §1.97(b).

(Within three months of filing national application; or date of entry of international application; or before mailing date of first office action on the merits; or before the mailing date of a first office action after filing an RCE)

☒ Under 37 CFR §1.97(c).

☒ Below is a statement under 37 CFR §1.97(e), or

☐ An IDS submission fee under 37 CFR §1.17(p).

(After the CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first. Either a statement under 37 CFR 1.97(e) or an IDS submission fee under section 1.17(p) is required.)

☐ Under 37 CFR §1.97(d).

☐ Below is a statement under 37 CFR §1.97(e), and

☐ An IDS submission fee under 37 CFR §1.17(p).

(Filed after the period of 37 CFR §1.97(c) final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

The undersigned hereby certifies under 37 CFR §1.97(e) that:

☐ Each item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, not more than three months prior to the filing of the statement, or

☒ No item of information contained in the Information Disclosure Statement -- was cited in a communication from a foreign patent office in a counterpart foreign application, and -- to the knowledge of the undersigned, after making reasonable inquiry, was known to an individual designated in 37 CFR §1.56 (c) more than three months prior to the filing of the Information Disclosure Statement.

A concise explanation of relevance of the items listed on the attached form:

☒ Additional information is provided in an appendix to this communication.

☐ Appears in the body of the application.

☐ Is given for non-English language listed item(s) [Required] and appears in an appendix to this communication.

☐ Is in the form of an English language copy of a Search Report (copy attached) from a foreign patent office, issued in a counterpart application which refers to the relevant portions of the references.

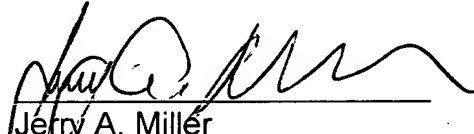
While the information and references disclosed in this Information Disclosure Statement may be "material" pursuant to 37 CFR §1.56, this statement does not constitute an admission that any patent, publication or other information referred to therein is "prior art" or "material" to this invention or any application for patent for this invention.

In accordance with 37 CFR §1.97, the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that other information that may be material as defined in 37 CFR §1.56 exists. It is submitted that the Information Disclosure Statement is in compliance with 37 CFR §1.97 and §1.98 and MPEP §609. The

Examiner is requested to fully consider each of these references and acknowledge such consideration by appropriately initialing the attached form and returning a copy to the address below. In addition, the Examiner is requested to conduct a thorough independent search in order to bring the best references available forward in this application.

- ☒ [X] No fee is believed to be required for this IDS.
- ☐ [ ] A check for the above fees is enclosed.
- ☐ [ ] Please charge the IDS fee of \$ 130 under 37 CFR §1.17(h) to my credit card. See the enclosed credit card charge authorization form.
- ☒ [X] The commissioner is hereby authorized to charge any additional fees which may be required for this submission, or credit any overpayment to my Deposit Account No. 50-1267.

Respectfully submitted,

  
Jerry A. Miller  
Registration No. 30,779

Dated: 8/2/2005

Please Send Correspondence to:  
**CUSTOMER NUMBER 24337**  
Miller Patent Services  
2500 Dockery Lane  
Raleigh, NC 27606  
Phone: (919)-816-9981  
Fax: (919)-816-9982

## APPENDIX

Applicants' representatives recently became aware of a family of applications filed by another inventive entity (employed by an assignee of the present application) for subject matter that may be relevant to the present application. The members of this patent family, along with all art cited in the patent family, are listed on the attached listing. The undersigned has not reviewed these documents in detail, but wishes to assure that the relationship is disclosed for consideration by the Examiner. Translation of foreign documents were obtained from the Japanese patent office web site and are believed to be machine translations. Since this IDS contains multiple foreign references and translations thereof, Applicants are submitting this IDS by mail approximately simultaneously with an Office Action Response submitted by fax, and requests consideration of this IDS prior to further action on the merits.

The Chinese and Korean references appear to be redundant to one of the Japanese references as noted on the attached form, and thus the translation of the Japanese reference is believed to be sufficient. The potentially related applications owned by a common assignee appear at the beginning of the listing and are designated by an \*.

**INFORMATION  
DISCLOSURE  
STATEMENT**

Application No.: 09/891,005

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Docket No.: SNY-P4260

Group:

Filed: 06/25/2001

Conf. No.:

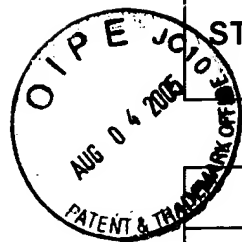
Applicant: Michael Shawn Giffin

**U.S. PATENT DOCUMENTS**

Exam. Init.	Document Number	Issue/Pub. Date	Name	Class	Filing Date
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Examiner:

Date:



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STATEMENT**

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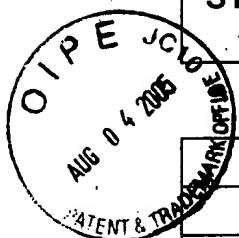
Docket No.: SNY-P4260

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Filed: 06/25/2001

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Applicant: Michael Shawn Giffin



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<b>INFORMATION DISCLOSURE STATEMENT</b>	Application No.: 09/891,005	Sheet 3 of 4
	Docket No.: SNY-P4260	Group:
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	Applicant: Michael Shawn Giffin	

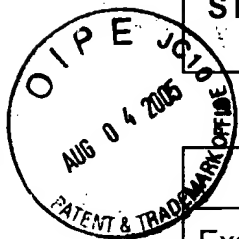
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	2000/209562	07/28/2000	Japan		Yes
	10/78931	07/28/2000	Japan		Yes
	2000/165845	06/16/2000	Japan		Yes
	2000/115097	04/21/2000	Japan		Yes
	2000/223080	01/21/2000	Japan		Yes
	11/331716	11/30/1999	Japan		Yes
	11/313237	11/09/1999	Japan		Yes
	11/98712	04/09/1999	Japan		Yes
	10/308776	11/17/1998	Japan		Yes
	0 836 140	04/15/1998	Europe		
	10/0202768	04/28/1997	Korea		see 09/073480
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	09/073480	03/18/1997	Japan		Yes
	0 762 305	03/12/1997	Europe		
	108/172437	07/02/1996	Japan		Yes

Examiner:

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<b>INFORMATION DISCLOSURE STATEMENT</b>	Application No.: 09/891,005	Sheet 4 of 4
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	Filed: 06/25/2001	Conf. No.:
	Applicant: Michael Shawn Giffin	

OTHER DOCUMENTS / CITATIONS	
Exam. Init.	Document
	Carl FENGER et al., "The Fantastic Broadband Multimedia System," Software Platform Description, XP-002258083, 31 May 2000, pages 1-23
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	Patent Abstract of Japan, JP 2000/115097, 21 April 2000
	Naehyuck CHANG et al., "Dual-Mode Low-Power Bus Encoding for High- Performance Bus Drivers," IEEE TENCON, XP-010368431, 15 September 1999, pages 876-879

\* related applications

Examiner:	Date:
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